

U.S. DEPARTMENT OF ENERGY
OFFICE OF INSPECTOR GENERAL
OFFICE OF INVESTIGATIONS
Special Agent Rosemarie Peterson
Albuquerque Office, Region 4
505-845-4009



DOE/OIG OFFICE OF INVESTIGATIONS

SUSPECT OR COUNTERFEIT ITEM BRIEFING



DOE/OIG OFFICE OF INVESTIGATIONS

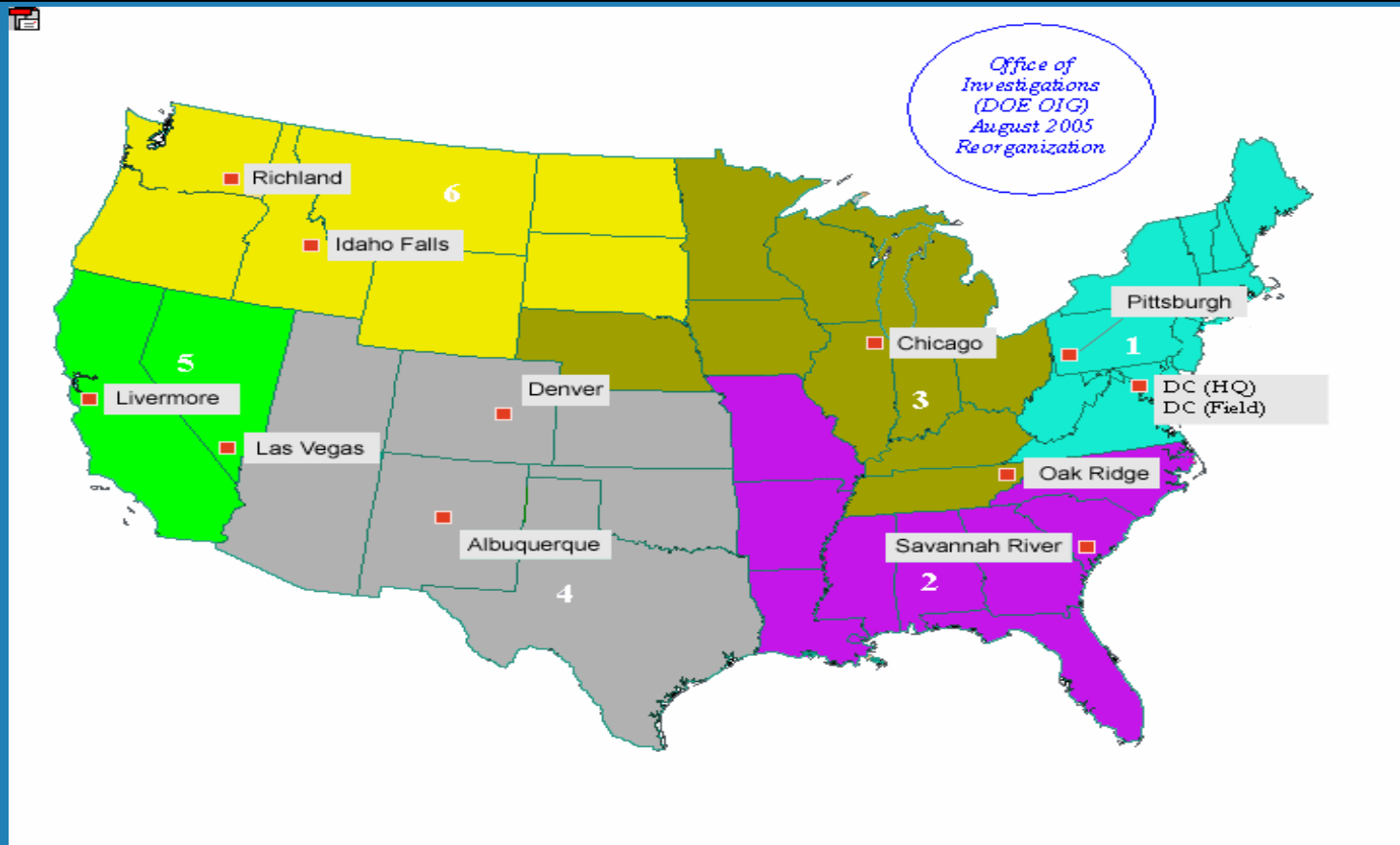
- ⌚ Six Regions
- ⌚ 10 Office Locations
- ⌚ 43 Agents
- ⌚ OIG HOTLINE

800 541-1625

FAX NUMBER: (202) 586-4902

DOE/OIG

OFFICE OF INVESTIGATIONS





DOE/OIG OFFICE OF INVESTIGATIONS

MATTERS INVESTIGATED BY THE OI

(WHITE-COLLAR CRIMES INVOLVING DOE, IT'S CONTRACTORS, SUB-CONTRACTORS AND GRANTEES)

- CONTRACT, PROCUREMENT, AND GRANT FRAUD
- PRODUCT SUBSTITUTION AND SUSPECT/COUNTERFEIT PARTS
- FALSE STATEMENTS AND FALSE CLAIMS
- ENVIRONMENT, HEALTH, AND SAFETY VIOLATIONS
- COMPUTER CRIMES
- BRIBERY, KICKBACKS, AND GRATUITIES



DOE/OIG OFFICE OF INVESTIGATIONS

REPORTING SUSPECT OR COUNTERFEIT ITEMS REQUIREMENTS

- DOE ORDER 221.1, "REPORTING FRAUD, WASTE AND ABUSE TO THE OFFICE OF INSPECTOR GENERAL"
- NOTICE 221.6, "REPORTING FRAUD, WASTE, AND ABUSE"
- OTHER DOE PRONOUNCEMENTS THAT SPECIFICALLY CITE NEED TO REPORT SUSPECT OR COUNTERFEIT ITEMS TO THE OIG



DOE/OIG OFFICE OF INVESTIGATIONS

- ⌚ DOE AND ITS CONTRACTORS SHOULD REPORT SUSPECT OR COUNTERFEIT ITEMS TO THE OIG OFFICE OF INVESTIGATIONS WHEN DISCOVERED AND-----
 - 1. Reason To Believe
 - 2. Fraudulent act occurred during the manufacture, shipping, testing, or certification of the Suspect or Counterfeit Item (s)

DOE/OIG OFFICE OF INVESTIGATIONS

⌚ DOE AND ITS CONTRACTORS SHOULD REPORT SUSPECT OR COUNTERFEIT ITEMS TO THE OIG OFFICE OF INVESTIGATIONS DISCOVERED DURING:

1. Receipt
2. Maintenance
3. Testing
4. Inspection
5. Use
6. Or Otherwise



DOE/OIG OFFICE OF INVESTIGATIONS

REPORTING TO OFFICE OF INVESTIGATION

1. To Appropriate Office of Investigation Location
2. Via telephone, email, or letter
3. DOE Element or DOE Subcontractor may have specific form and/or documentation to forward to OIG



DOE/OIG OFFICE OF INVESTIGATIONS

Once Reported to Office of Investigation/OIG:

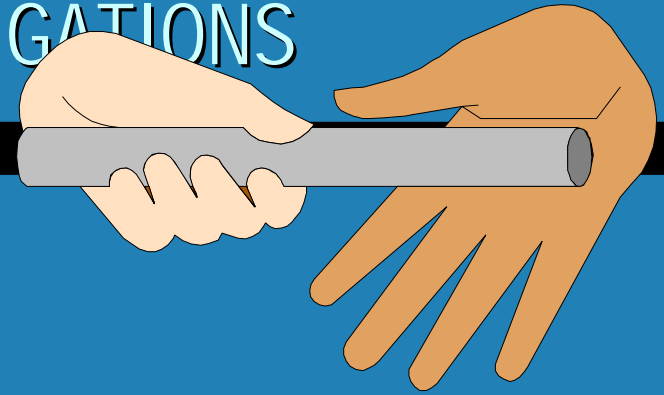
1. Will Evaluate –May Require Additional Information
2. Will Respond back within 10 calendar days
 - a) Investigation Opened
 - b) Investigation Not Opened
 - c) Disposition of Item (s)—Ex: Retained or No need to secure for OIG purposes



DOE/OIG OFFICE OF INVESTIGATIONS

- ⌚ SUSPECT OR COUNTERFEIT ITEM (S) SECURED
- ⌚ REQUEST CONTACT WITH OFFICE OF INVESTIGATIONS PRIOR TO CONTACTING VENDOR/SUPPLIER

DOE/OIG OFFICE OF INVESTIGATIONS



🌀 STRONGEST LINK

- YOU -- FRONT LINE DEFENSE
- YOU ARE THE EXPERTS,
- YOU KNOW YOUR PROGRAMS ,
- YOUR KNOW YOUR PRODUCT SPECIFICATIONS,
- YOU KNOW THE CONTRACTORS, VENDORS, and SUPPLIERS.



DOE/OIG OFFICE OF INVESTIGATIONS

Examples of Coordination with ES&H

- ⌚ Hunt Valve-Falsified Certifications of Conformance. Supplied non-conforming valves. Criminal-Conspiracy to Defraud and False Statements. 33 months in prison & over \$4 million in restitution to DOD. On-going.
- ⌚ Temperform-False Certifications relating to heat treating of aluminum. Criminal Plea Agreement included \$200,000 in fines and \$100,000 in restitution. On-going.

DOE/OIG OFFICE OF INVESTIGATIONS

Examples of Coordination with ES&H

- ⌚ Western Sales and Testing-falsified certifications relating to testing of compressed gas cylinders. Civil--False Statements and False Claims. \$3 million dollar settlement. \$1.1 million in single damages returned to victim agencies.
- ⌚ M&M Sales—Allegedly altered certifications relating to testing of metal components. On-going matter